

02/19/02
jc997 U.S. PTO

2-25-02 00079034 021902 REISSUE

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PTO/SB/50 (02-01)
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REISSUE PATENT APPLICATION TRANSMITTAL

Address to: Assistant Commissioner for Patents Box Reissue Washington, DC 20231	Attorney Docket No.	14079-1US-R GH/ik
	First Named Inventor	CHOUINARD, Jean-Guy
	Original Patent Number	6,028,414
	Original Patent Issue Date (Month/Day/Year)	February 22, 2000
	Express Mail Label No.	

APPLICATION FOR REISSUE OF: ☒ **Utility Patent** ☐ **Design Patent** ☐ **Plant Patent**
(Check applicable box)

APPLICATION ELEMENTS (37 CFR 1.173)	ACCOMPANYING APPLICATION PARTS
1. <input checked="" type="checkbox"/> Fee Transmittal Form (PTO/SB/ 56) (Submit an original, and a duplicate for fee processing)	10. <input checked="" type="checkbox"/> Statement of status and support for all changes to the claims. See 37 CFR 1.173 (c). *
2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	11. <input checked="" type="checkbox"/> Original U.S. Patent for surrender <input checked="" type="checkbox"/> Ribbioned Original Patent Grant <input type="checkbox"/> Statement of Loss (PTO/SB/55)
3. <input checked="" type="checkbox"/> Specification and Claims in double column copy of patent format (amended, if appropriate)	12. <input type="checkbox"/> Foreign Priority Claim (35 U.S.C. 119) (if applicable)
4. <input checked="" type="checkbox"/> Drawing(s) (proposed amendments, if appropriate)	13. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations
5. <input checked="" type="checkbox"/> Reissue Oath/Declaration (original or copy) (37 C.F.R. § 1.175) (PTO/SB/51 or 52)	14. <input type="checkbox"/> English Translation of Reissue Oath/Declaration (if applicable)
6. <input checked="" type="checkbox"/> Power of Attorney	15. <input checked="" type="checkbox"/> Preliminary Amendment
7. Original U.S. Patent currently assigned? <input type="checkbox"/> Yes <input type="checkbox"/> No (If Yes, check applicable box(es)) <input checked="" type="checkbox"/> Written Consent of all Assignees (PTO/SB/53) <input checked="" type="checkbox"/> 37 C.F.R. § 3.73(b) Statement (PTO/SB/96)	16. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
8. <input type="checkbox"/> CD-ROM or CD-R in duplicate, Computer Program (Appendix) or large table	17. Other:
9. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all of the following are necessary) a. <input type="checkbox"/> Computer Readable Form (CFR) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM (2 copies) or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies	* Preliminary Amendment

18. CORRESPONDENCE ADDRESS

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NAME (Print/Type)	Guy J. Houle	Registration No. (Attorney/Agent)	24,971
Signature	<i>Guy J. Houle</i>	Date	Feb. 15, 2002

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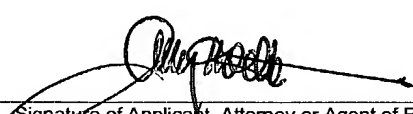
REISSUE APPLICATION FEE TRANSMITTAL FORM						Docket Number (Optional) 14079-1US-R GH/ik		
Claims as Filed - Part 1								
Claims in Patent (A) 16 (C) 1	Total Claims (37 CFR 1.16(j)) Independent claims (37 CFR 1.16(i))	Number Filed in Reissue Application (B) 18 (D) 1	(3) Number Extra **** = * =	Small Entity		Other than a Small Entity		
				Rate	Fee		Rate	Fee
				x \$ _____ =		or	x \$ _____ =	
				x \$ _____ =			x \$ _____ =	
Basic Fee (37 CFR 1.16(h))					\$ 370			\$ _____
Total Filing Fee					\$ 370		OR	\$ _____
Claims as Amended - Part 2								
	(1) Claims Remaining After Amendment		(2) Highest Number Previously Paid For	(3) Extra Claims Present	Small Entity		Other than a Small Entity	
					Rate	Fee		Rate
Total Claims (37 CFR 1.16(j))	***	MINUS	**	* =	x \$ _____ =		x \$ _____ =	
Independent Claims (37 CFR 1.16(i))	***	MINUS	*****	=	x \$ _____ =		x \$ _____ =	
Total Additional Fee						\$	OR	\$

* If the entry in (D) is less than the entry in (C), Write "0" in column 3.
 ** If the "Highest Number of Total Claims Previously Paid For" is less than 20, Write "20" in this space.
 *** After any cancellation of claims.
 **** If "A" is greater than 20, use (B - A); if "A" is 20 or less, use (B - 20).
 ***** "Highest Number of Independent Claims Previously Paid For" or Number of Independent Claims in Patent (C).

☒ Applicant claims small entity status. See 37 CFR 1.27.
☐ Please charge Deposit Account No. _____ in the amount of _____.
 A duplicate copy of this sheet is enclosed.
☐ The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 or 1.17 which may be required, or credit any overpayment to Deposit Account No. _____.
 A duplicate copy of this sheet is enclosed.
☒ A check in the amount of \$ 370.00 to cover the filing / additional fee is enclosed.
☐ Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Feb. 15, 2002
Date


 Signature of Applicant, Attorney or Agent of Record
Guy J. Houle (Reg. No. 24,971)
 Typed or printed name

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Jean-Guy CHOUINARD, Raymond ROBERGE and Guy ROSS
Application No./Patent No.: 6,028,414 Filed/Issue Date: February 22, 2000
Entitled: FUEL CELL STAND-BY ENERGY SUPPLY SYSTEM
H Power Enterprises of Canada Inc., a Canadian Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 9685, Frame 0994, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

February 15th, 2002
Date

Jean-Guy CHOUINARD
Typed or printed name
Jean-Guy Chouinard U.P.
Signature
Vice-President
Title

- 2 -

Assistant Commissioner for Patents

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Fee Address:

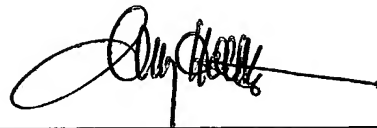
Please recognize the above address as the fee address.

Respectfully submitted,
H POWER ENTERPRISES
OF CANADA INC.

Date:

FEB. 15, 2002

By:



Guy J. Houle
(Reg. No. 24,971)